

Independent Evaluation of the Strategic Approach from 2006 - 2015

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Objectives of Evaluation

- Set out in Annex to ICCM Resolution IV/4
- Assess the strengths, weaknesses and gaps in implementing the SAICM 2006-2015
- Outcome of the evaluation will enable the inter-sessional process to develop recommendations and enable ICCM5 to take a decision on sound management of chemicals and waste beyond 2020

Available Documents

Main documents:

- SAICM/IP.1/5 – Interim report of the independent evaluation of the Strategic Approach for 2006 – 2015
- SAICM/IP.1/5/Add.1 – Interim report for the independent evaluation of the Strategic Approach for 2006 - 2015 - Section (VI) F: Identifying and taking action on regional and sub-regional needs for advancing sound management of chemicals and waste

Other document:

- SAICM/IP.1/INF/4 – Independent evaluation focus group sessions - Note by the Independent Evaluator

Evaluation Approach

- Inclusive and participatory evaluation process
- Work closely with the regional and national focal points through their responsibilities to act as an effective conduit for communication on SAICM
- Work with focal points and stakeholders from civil society organizations, trade unions, health, industry and the United Nations agencies
- Grounded in a Theory of Change approach

What is a Theory of Change approach?

- Explore how change happens more broadly and what that means
- Less focus on activities, deeper reflection on change
- Suits complex situations where cause and effect are less predictable and difficult to understand:
 - Identifies vision of success and preconditions for success
 - Maps actors involved and relationships
 - Aligns strategies identifying what organisation can do directly and those in collaboration with others

Why a Theory of Change for SAICM?

- Complex nature of SAICM as an international voluntary agreement with multiple actors and differing perspectives
- Combining retrospective and prospective approaches
- Both explanatory and exploratory
- Theory developed will reflect perspectives of different actors involved in SAICM

Stages in Evaluation

- Interim report – November 2016 to January 2017
- Comprehensive evaluation and review period – February to October 2017
- Draft report – end of 2017
- Final report – OEWG3 in 2018

Interim Report: on line survey

- 185 responses (143 English; 22 French; 20 Spanish)
- 69% government; 9% international organisation;
1% NGO:TU; 14% Industry; 1% NGO: health;
6% NGO: public interest (n=175)
- 57% national focal point; 2% regional focal point;
10% inter-governmental org focal point; 9% bureau;
10% NGO focal point; 13% Industry focal point (n=143)
- 23% Africa; 10% Asia-Pacific; 11% Central & Eastern Europe;
14% Latin America & Caribbean; (n=175)
23% Western Europe & Other Group; 18% Global
- 57% male 43% female (n=127)

Perceptions of degree of success in achieving the Strategic Approach OPS objectives

	very successful	Some success	Little success	unsuccessful
Risk reduction	18%	63%	16%	2%
Knowledge	27%	58%	13%	2%
Governance	21%	56%	19%	4%
Capacity-building	25%	45%	26%	3%
Illegal int traffic	13%	40%	24%	23%

Degree of success in incorporating the SAICM emerging policy issues and other issues of concern your activities

	very successful	some success	little success	unsuccessful
lead in paint	43%	43%	7%	7%
chemicals in products	25%	48%	17%	10%
nanotech	33%	33%	21%	13%
HSLEEP	26%	36%	21%	17%
endocrine	35%	37%	14%	14%
environ persistent	16%	44%	27%	13%
perflourinated	22%	53%	11%	13%
highly hazardous pesticides	42%	38%	11%	9%

Relevance to SDGs

- Concerns about chemicals and waste embedded throughout most of SDGs – goals and targets
- Opportunities for further mainstreaming of chemicals and waste in national development plans
- Vulnerable groups highly visible in targets
- Achieving targets will depend on achieving five overarching policy objectives
- Depend on collaboration and cooperation between SAICM stakeholders

SAICM 20 indicators in measuring progress towards the 2020 goal

- Beyond 2020 – challenge and opportunity to align indicators of progress to the SDG targets
- User friendly, simple and straightforward
- Represent a good baseline...BUT
- Evolved over time
- Not focused on measuring impact

Draft Report Process

- Focus Group discussions during the inter-sessional meeting
- Engagement with stakeholders at the Basel, Rotterdam and Stockholm COPs (April / May 2017)
- Other Focus Group discussions and one-to-one interviews with stakeholders
- Engaging with stakeholders to ensure perspectives are included

Draft Report – December 2017

- Comprehensive and complete assessment of evaluation
- Presented to the intersessional process considering SAICM and the sound management of chemicals and waste beyond 2020 at its second meeting.

Final Report Process

- Draft report to be circulated to all stakeholders, inviting feedback and comments
- Follow up discussions with individual stakeholder representatives
- Report will reflect the feedback and comments
- Finalized for OEWG3

Last Note

- This is your evaluation!
- The work of the evaluator is to ensure that your voices are heard
- Aim to produce a final report that reflects the views of stakeholders